

10-27-06

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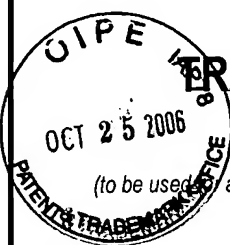
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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

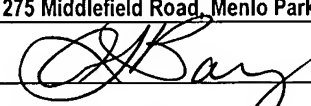
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 <p>TRANSMITTAL FORM (to be used for all correspondence after initial filing)</p>		Application Number	10/007,194
		Filing Date	December 6, 2001
		First Named Inventor	Luc Desnoyers
		Group/Art Unit	1647
		Examiner Name	Fozia M. Hamud
Total Number of Pages in This Submission	14	Attorney Docket Number	39780-2830 P1C6

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Version With Markings Showing Changes <input type="checkbox"/> Declaration/Affidavit <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Copy of Notice	<input checked="" type="checkbox"/> Request for Certificate of Correction <input checked="" type="checkbox"/> Certificate of Correction in duplicate (PTO-1050) <input checked="" type="checkbox"/> Copy of Amendment under 37 CFR §1.48(b) and 1.312 of 11/22/2004 with Return Receipt Postcard <input checked="" type="checkbox"/> Copy of Preliminary Amendment of 8/29/2002 <input checked="" type="checkbox"/> Copy of Corrected Filing Receipt of 3/1/2006 <input type="checkbox"/> Power of Attorney, by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71-With Revocation of Prior Powers <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW): <input checked="" type="checkbox"/> and Return Postcard.
<p>Certificate of Correction NOV 01 2006</p>		
<p>Remarks</p>		
<p>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-2830 P1C6.</p>		


SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	HELLER EHRMAN LLP 275 Middlefield Road, Menlo Park, California 94025		
	ANNA L. BARRY (Reg. No. 51436)	Telephone: (650) 324-7000	Facsimile: (650) 324-0638
Signature			
Date	OCTOBER 25, 2006	Customer Number:	35489

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: **MAIL STOP Certificate of Correction Branch** Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: **OCTOBER 25, 2006**

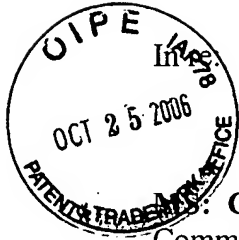
Express Mail Label **EV 582 637 807 US**

Typed or printed name	L. ACOSTA		
Signature		Date	OCTOBER 25, 2006

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ___, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NOV - 1 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Patent No.: 7,041,805 B2
Dated: May 9, 2006
Inventor(s): Desnoyers, et al.

Certificate of Correction Branch
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

Sir:

REQUEST FOR CERTIFICATE OF CORRECTION

Patentee hereby requests a Certificate of Correction since the issued patent does not take into account the changes shown in the Amendment filed under 37 CFR 1.48(b) and 37 CFR 1.312 on November 22, 2004.

Submitted herewith is a copy of the Amendment filed under 37 CFR 1.48(b) and 37 CFR 1.312 on November 22, 2004, along with a completed Form PTO-1050 containing the requested correction. A return receipt postcard is enclosed.

Patentee further hereby requests a Certificate of Correction since the issued patent shows incorrect priority information under "Related U.S. Application Data." The correct priority information is that shown in the Preliminary Amendment filed August 29, 2002, and as shown in the Corrected Filing Receipt mailed March 1, 2006.

Submitted herewith are copies of the Preliminary Amendment filed on August 29, 2002, and the Corrected Filing Receipt mailed March 1, 2006, along with a completed Form PTO-1050 containing the requested correction.

No fee is believed due, because this is the correction of an Office mistake. Please charge any fees that may be required to Account No. 08-1641, referencing Attorney docket 39780-2830 P1C6. A duplicate of this document is enclosed.

Respectfully submitted,

Date: October 25, 2006

By: 
Anna L. Barry (Reg. No. 51,436)

Heller Ehrman, LLP
Customer No. 35489
275 Middlefield Road
Menlo Park CA 94025-3506
Tel: 650/324-7000
Fax: 650/324-0638

NOV - 1 2006

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 7,041,805 B2

DATED : May 9, 2006

INVENTOR(S) : Desnoyers, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, in the Inventors, delete the inventors and replace as follows -- Luc Desnoyers, San Francisco, CA (US); Audrey Goddard, San Francisco, CA (US); Paul J. Godowski, Hillsborough, CA (US); Austin L. Gurney, Belmont, CA (US); William I. Wood, Hillsborough, CA (US)--

On the front page, in the "Related U.S. Application Data":
(60) add the following related provisional application data:
-- Provisional application No. 60/101,915, filed on September 24, 1998. --

MAILING ADDRESS OF SENDER:

Ginger R. Dreger
HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, CA 94025

PATENT NO.: 7,041,805 B2
Atty. No.: 39780-2830 P1C6

501 - 1 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 7,041,805 B2

DATED : May 9, 2006

INVENTOR(S) : Desnoyers, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, in the Inventors, delete the inventors and replace as follows -- Luc Desnoyers, San Francisco, CA (US); Audrey Goddard, San Francisco, CA (US); Paul J. Godowski, Hillsborough, CA (US); Austin L. Gurney, Belmont, CA (US); William I. Wood, Hillsborough, CA (US)--

On the front page, in the "Related U.S. Application Data":
(60) add the following related provisional application data:
-- Provisional application No. 60/101,915, filed on September 24, 1998. --

MAILING ADDRESS OF SENDER:

Ginger R. Dreger
HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, CA 94025

PATENT NO.: 7,041,805 B2
Atty. No.: 39780-2830 P1C6

EXPRESS MAIL NO. EL 977 C 9 305 US -- DATE MAILED: NOVEMBER 22, 2004
Attorney's Docket No. 39780-2830 P1C6 (ALB/cf)

Applicant(s): Kevin P. BAKER, et al.
Title: SECRETED AND TRANSMEMBRANCE POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME

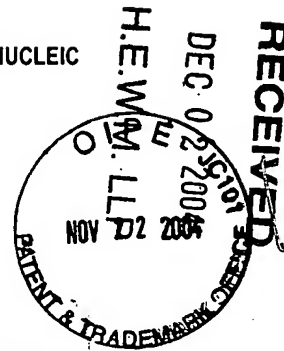
Application Serial No. 10/007,194 Group Art Unit: 1647
Filing Date: December 6, 2001 Examiner: Hamud, Fozia M.

Commissioner for Patents -- MAIL STOP AF
PO Box 1450
Alexandria, Virginia 22313-1450

SIR:

Please place the U.S. Patent and Trademark Office date stamp hereon to
acknowledge receipt of the following:

1. Transmittal Form; Fee Transmittal;
2. Amendment Under 37 C.F.R. §1.48(b) and 37 C.F.R. §1.312 (2 pages); and
Return Postcard.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

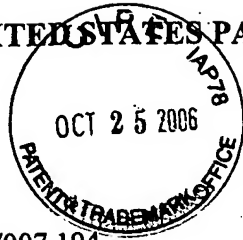
In re application of:

Kevin P. BAKER, et al.

Application Serial No. 10/007,194

Filed: December 6, 2001

For: **SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME**



) Examiner: Hamud, Fozia M.

) Art Unit: 1647

) Confirmation No. 3544

) Attorney's Docket No. 39780-2830 P1C6

) Customer No. 35489

EXPRESS MAIL LABEL NO. EL 977 609 305 US
DATE MAILED: NOVEMBER 22, 2004

AMENDMENT UNDER 37 C.F.R. §1.48(b) AND 37 C.F.R. §1.312

MAIL STOP AF

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Sir:

In the above identified application, a Notice of Allowance was mailed on October 22, 2004, but an issue fee has not been paid. Having reviewed the allowed claims, Applicants have found that as a result of claim amendments made during prosecution, fewer than all of the currently named inventors are the actual inventors of the invention being claimed in the present application. Accordingly, please delete the names of the following inventors, who have not made an inventive contribution to the currently claimed subject matter:

Kevin P. Baker	David Botstein
Dan L. Eaton	Napoleone Ferrara
Sherman Fong	Wei-Qiang Gao
J. Christopher Grimaldi	Kenneth J. Hillan
James Pan	Nicholas F. Paoni
Margaret Ann Roy	Victoria Smith
Timothy A. Stewart	Daniel Tumas
Colin K. Watanabe	P. Mickey Williams

NOV - 1 2006

Upon entering the present amendment, Audrey Goddard, Luc Desnoyers,
Paul J. Godowski, Austin Gurney, and William I. Wood remain named inventors in this case.

Please charge the fee of \$130.00 and/or any fees that might become applicable, including
any fees for extension of time, or credit overpayment to Deposit Account No. 08-1641,
referencing Attorney's Docket No. 39780-2830 P1C6. Please direct any calls in connection with
this application to the undersigned at the number provided below.

Respectfully submitted,

Date: November 22, 2004

By: 

Anna L. Barry (Reg. No. 51,436)

HELLER EHRMAN WHITE & McAULIFFE LLP

275 Middlefield Road

Menlo Park, California 94025

Telephone: (650) 324-7000

Facsimile: (650) 324-0638

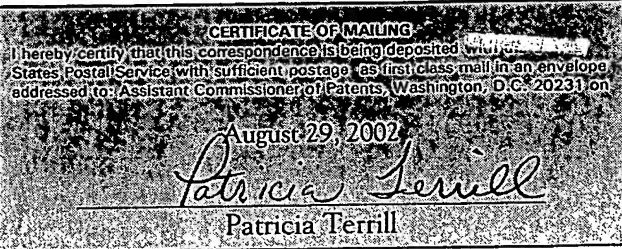
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11/20/04 5:16 PM (39780.2830)

NOV - 1 2006



Patent Docket P2830P1C6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kevin P. Baker et al. Serial No.: 10/007194 Filed: 12/6/2001	Group Art Unit: Not yet assigned Examiner: Not yet assigned 
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PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

In the specification:

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

--RELATED APPLICATIONS

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the

Patent Docket: P2830P1Co
Serial No.: 10/007194
Filed: 12/6/2001

National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101915 filed 9/24/1998.--

Patent Docket: P2830P1Co
Serial No.: 10/007194
Filed: 12/6/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,
GENENTECH, INC.

Date: August 29, 2002

By:



Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

Patent Docket: P2830P1C6

Serial No.: 10/007194

Filed: 12/6/2001

VERSION WITH MARKINGS TO SHOW CHANGES MADE

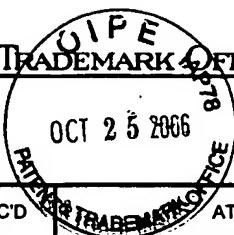
In the specification:

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.

39780-2830-006-44



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/007,194	12/06/2001	1647	1040	GNE.2830P1C6	249	6	1

35489
 HELLER EHRMAN LLP
 275 MIDDLEFIELD ROAD
 MENLO PARK, CA 94025-3506

RECEIVED
MAR 06 2006
 HELLER EHRMAN

CONFIRMATION NO. 3544
CORRECTED FILING RECEIPT
OC000000018164399
 OC000000018164399

Date Mailed: 03/01/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Luc Desnoyers, San Francisco, CA;
 Audrey Goddard, San Francisco, CA;
 Paul J. Godowski, Hillsborough, CA;
 Austin L. Gurney, Belmont, CA;
 William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.

Power of Attorney: The patent practitioners associated with Customer Number **09157**.

Domestic Priority data as claimed by applicant

This application is a CON of 09/946,374 09/04/2001 ABN
 which is a CON of PCT/US00/04342 02/18/2000
 which is a CIP of 09/403,297 10/18/1999 ABN
 which is a 371 of PCT/US99/20111 09/01/1999
 which claims benefit of 60/101,915 09/24/1998

Foreign Applications

If Required, Foreign Filing License Granted: 03/19/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is
US10/007,194

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

DOCKETED

- 1 2006

Title

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Preliminary Class

530

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

NOV - 1 2003

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of

technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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NOV - 1 2006